



Australian Government
Department of the Environment,
Water, Heritage and the Arts

TRADITIONAL CHINESE MEDICINE ENDANGERED SPECIES CERTIFICATION SCHEME CERTIFICATION EXAMINATION

This Certification Examination is intended for practitioners, researchers, educators, wholesalers, exporters, importers, retailers and other traders in traditional Chinese medicines who are applying for certification under the Traditional Chinese Medicine Endangered Species Certification Scheme. This scheme was established with funding provided by the Department of the Environment, Water, Heritage and the Arts.

APPLICANT DETAILS		
NAME OF PERSON COMPLETING THIS FORM		
TITLE (please circle) Prof Dr Mr Ms Mrs Miss Other	FAMILY NAME	GIVEN NAMES
NAME OF PRACTICE/BUSINESS/ORGANISATION (if relevant)		
APPLICATION NUMBER (Office use only):		

INSTRUCTIONS
<p><i>This Certification Examination is open book.</i></p> <p><i>Applicants should refer to the materials supplied in the Application Kit, in particular the Wildlife Booklet. Applicants should also refer to any other materials published by the Department of the Environment, Water, Heritage and the Arts and CITES, including electronic materials.</i></p> <p><i>Please provide one answer only for each multiple choice question, by circling the corresponding letter a, b, c, or d. There are also two short answer questions. Short answers should be completed in English.</i></p> <p><i>Each page of this Certification Examination and the Declaration Section must be signed and dated by the person completing the Certification Examination.</i></p> <p><i>The pass mark on all sections is 100%. Unsatisfactory responses will be sent back to the applicant to be re-submitted after consulting relevant reading materials.</i></p> <p><i>Questions start on page 2.</i></p>

Name _____ Signature _____ Date _____

SECTION A: REGULATORY ARRANGEMENTS

- 1. The Department of the Environment, Water, Heritage and the Arts is:
 - (a) a major global non-government organisation
 - (b) a state government department or statutory authority
 - (c) an Australian (Commonwealth) Government department or statutory authority
 - (d) an international treaty concerned with trade in wildlife products

2. What does the acronym "CITES" stand for?

3. What is your understanding of the objective(s) of CITES?

- 4. In Australia, CITES is implemented through:
 - (a) the *Customs Act 1901*
 - (b) the *Environment Protection and Biodiversity Conservation Act 1999*
 - (c) the *Wildlife Protection (Regulation of Exports and Imports) Act 1982*
 - (d) the *International Wildlife Trade Act 2000*

5. What is the maximum penalty allowed under Australian legislation for illegally importing wildlife products?
- (a) none – penalties only apply to export or import
 - (b) up to AU\$110 000 fine and/or up to 10 years imprisonment
 - (c) up to six months imprisonment
 - (d) seizure of the products without compensation
6. Apart from the CITES Management Authority in a country, which organisation has the authority to issue CITES permits?
- (a) the Australian Acupuncture and Chinese Medicine Association Ltd
 - (b) the CITES Secretariat
 - (c) a national customs organisation
 - (d) no other organisation

SECTION B: REGULATORY TOOLS AND PROCESSES
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7. Which of the following best describes a “CITES permit”?
- (a) a document certifying that specimens have not been taken from the wild
 - (b) a document certifying that specimens are not subject to regulation under CITES
 - (c) a document exempting a consignment from inspection by the Australian Customs Service
 - (d) a document authorising the export or import of CITES specimens
8. Which of the following would not normally be taken into account when the CITES Management Authority of Australia decides whether to issue a CITES permit to import?
- (a) whether the product contains ingredients included in CITES Appendix I, II or III
 - (b) whether any regulated ingredients were taken from the wild
 - (c) whether the product contains any ingredients native to Australia that are not listed on CITES
 - (d) whether the CITES Management Authority of the country of export is willing to issue a permit to export

SECTION C: REGULATED ACTIVITIES
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9. For which of the following activities would a permit issued by the CITES Management Authority never be required?
- (a) exporting raw CITES ingredients
 - (b) transporting raw CITES ingredients from a warehouse in Melbourne to a practitioner in Brisbane
 - (c) importing raw CITES ingredients included in Appendix III
 - (d) importing a finished pharmaceutical product containing CITES ingredients

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10. In addition to CITES matters, which one of the following activities is of interest to the International Wildlife Trade Section of the Department of the Environment, Water, Heritage and the Arts?
- (a) cruel animal farming practices
 - (b) import of live plants
 - (c) misrepresenting the benefits of a medicinal formula
 - (d) import of cat and dog fur
11. For the purposes of Australian international wildlife trade legislation, which of the following activities constitutes “international trade”?
- (a) bringing a gift from overseas into Australia
 - (b) ordering a product from an internet site based overseas
 - (c) carrying medicine on an overseas holiday for personal use
 - (d) all of the above

SECTION D: SCENARIOS

12. When consulting the CITES list provided by the CITES Management Authority of Australia, you discover that *xiyangshen* (*Panax quinquefolius*/American ginseng) is included in CITES Appendix II. What does this mean?
- (a) this species is threatened with extinction and all international trade is prohibited
 - (b) this species is vulnerable to the pressures of international trade and may not generally be exported or imported without a permit
 - (c) this species is not included in CITES Appendix I, and may therefore be imported without a permit
 - (d) you are not sure, so you contact the CITES Management Authority of Australia for advice
13. You would like to acquire some *baihuashe* (*Agkistrodon halys*) from China, and do not know if it is subject to import regulation. You consult the CITES list provided by the CITES Management Authority of Australia, but cannot find any reference to this species. What do you do?
- (a) assume that this species is not subject to regulation
 - (b) assume that specimens of this species may not be legally imported
 - (c) apply to the CITES Management Authority of China for a permit to export, just in case
 - (d) contact the CITES Management Authority of Australia for advice
14. A product label claims to contain *hugu* (*Panthera tigris*), but you know that this is just for promotional reasons, and the product does not actually contain *hugu*. What steps would you need to take in order to legally import this product into Australia?
- (a) have the product repackaged so it does not look like it might contain a CITES ingredient
 - (b) no particular action is required before placing an order, as the product does not contain a CITES ingredient
 - (c) make sure that there is some *hugu* included with the product to avoid allegations of false advertising
 - (d) apply to the CITES Management Authority of Australia for a CITES permit to import

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15. If you are buying a product that you know contains CITES ingredients, from a wholesaler in Australia, what should you do to legally protect your business?
- (a) confirm with the wholesaler that the ingredients were sourced in Australia
 - (b) confirm with the wholesaler that the ingredients were imported under a valid CITES permit to import (or CITES exemption) and ask to see a copy of the permit
 - (c) either (a) or (b)
 - (d) nothing in particular – as you are trading wholly within Australia you will not need to be concerned with CITES
16. You have made enquiries into an interesting product containing *chuanshanjia* (*Manis pentadactyla*). A supplier in Hong Kong assures you that they can supply the product to you, because they hold a valid CITES permit to export, issued by the CITES Management Authority of China. Is there anything more that you need to do before placing an order?
- (a) yes – you need to check that the permit says that the ingredients were not taken from the wild
 - (b) yes – you still need to apply to the CITES Management Authority of Australia for a CITES permit to import, including a copy of the Chinese CITES permit with your application
 - (c) you do not need to do anything immediately, but when the consignment arrives in Australia, you will need to apply for an import permit
 - (d) no – the export permit will be sufficient for legal import into Australia
17. A patient/customer presents a tube of Bear-bile ointment that they obtained from a local herb shop and asks if you can get some more for them as they have nearly run out. The label indicates it was manufactured by a well-known overseas pharmaceutical company and you have heard rumours that a local herb shop will supply the ointment if requested. What do you do?
- (a) As the needs of the patient/customer always come first, you tell them you will do your best to obtain the product for them, and then contact the local herb shop to place an order
 - (b) You tell them you are unable to supply the product to them, so you give them the address of the local herb shop and suggest that they enquire there for the ointment
 - (c) You tell them you are unable to supply the product to them and discuss alternative treatments that do not include the use of bear bile.
 - (d) (b) and (c)

DECLARATION

I declare that I have personally completed this Certification Examination and that the answers provided are truthful and correct to the best of my knowledge and belief.

I have signed this Declaration willingly after reading the attached Information Sheet and related materials and completing this Certification Examination.

Signed and dated this) APPLICANT NAME _____
 day of , 20)
) APPLICANT SIGNATURE
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) For and on behalf of (name of practice/business/organisation) if relevant
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Name _____ Signature _____ Date _____